



## Stewardship Report

SEBI vide its Master Circular dated May 07,2024 having reference no. SEBI/HO/AFD-1/AFD-1-PoD/P/CIR/2024/39 (“**SEBI Master Circular**”) has mandated all Mutual Funds and Alternative Investment Funds to frame Stewardship Code in relations to their investments in listed equities. The master circular requires reporting of stewardship activities as prescribed in the master circular.

IIFL Capital Asset Management Limited (“**IIFLCAML**”) (Formerly known as IIFL Securities Alternate Asset Management Limited) is Investment Manager for schemes launched under IIFL Securities Dynamic Fund (“the Trust”) registered under SEBI (Alternative Investment Funds) Regulations, 2012. The code will be applicable to investments in listed equities by schemes of the Fund.

At IIFLCAML, we see ourselves as long-term stewards of our clients and this philosophy naturally leads us to focus on long-term prospects for companies in which we invest.

The details of the manner in which the elements of the stewardship code have been discharged by the Company are as follows:

### **Principle 1:**

Institutional Investors should formulate a comprehensive policy on the discharge of their stewardship responsibilities, publicly disclose it, review and update it periodically.

Compliance Status: **Complied**

A detailed policy on Stewardship responsibilities has been drafted and adopted. The policy is reviewed on periodical basis.

### **Principle 2:**

Institutional investors should have a clear policy on how they manage conflicts of interest in fulfilling their stewardship responsibilities and publicly disclose it.

Compliance Status: **Complied**

There has been no instance of conflict of interest identified during the year.

### **Principle 3:**

Institutional investors should monitor their investee companies.

Compliance Status: **Complied**

The monitoring and assessment of investee companies have been done on regular basis.

**IIFL CAPITAL ASSET MANAGEMENT LIMITED**  
**(FORMERLY KNOWN AS IIFL SECURITIES ALTERNATE ASSET MANAGEMENT LIMITED)**  
Corporate Identity Number: **U66300MH2024PLC418917**

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**Principle 4:**

Institutional investors should have a clear policy on intervention in their investee companies. Institutional investors should also have a clear policy for collaboration with other institutional investors where required, to preserve the interests of the ultimate investors, which should be disclosed.

Compliance Status: **Complied**

During the period under review, no matter warranted intervention and/or collaboration with Institutional Investors.

**Principle 5:**

Institutional investors should have a clear policy on voting and disclosure of voting activity.

Compliance Status: **Complied**

As per the provisions of Stewardship Policy, voting requirement is not getting triggered. Therefore, nil report has been attached in **Annexure 1** of this Report.

**Principle 6:**

Institutional investors should report periodically on their stewardship activities.

Compliance Status: **Complied**

We have sent email communication to the contributors of IIFL Securities Dynamic Fund wherein the compliance report on stewardship activities is sent along with nil voting report. Please the construction of website of IIFLCAML is in process. Once the website is published, we will host this report on the same for investors.

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**Annexure 1**

<b>Meeting Date</b>	<b>Company Name</b>	<b>Type of Meeting (AGM/EGM)</b>	<b>Proposal by Management or Shareholder</b>	<b>Proposal's Description</b>	<b>Investee Company's Management Recommendation</b>	<b>Vote For/Against/Abstain</b>	<b>Reason supporting the vote decision</b>	<b>Scheme Name</b>
<b>NIL</b>								

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